# (19) World Intellectual Property Organization International Bureau



## 

#### (43) International Publication Date 30 November 2000 (30.11.2000)

#### PCT

# (10) International Publication Number WO 00/71116 A1

(51) International Patent Classification<sup>7</sup>: A C07D 207/34

A61K 31/40,

(21) International Application Number: PCT/IB00/00014

(22) International Filing Date: 6 January 2000 (06.01.2000)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 775/DEL/99

25 May 1999 (25.05.1999) IN

(71) Applicant (for all designated States except US): RAN-BAXY LABORATORIES LIMITED [IN/IN]; 19 Nehru Place, New Delhi 110 019, Maharashtra (IN).

(72) Inventors; and

(75) Inventors/Applicants (for US only): KUMAR, Yatendra [IN/IN]; U-26/5, DLF Qutab Enclave, Phase - III, Gurgaon 122 001, Haryana (IN). THAPER, Rajesh, Kumar [IN/IN]; B-26, Sunshine Apartments, Block "C", Sushant Lok - I, Gurgaon 122 002, Haryana (IN). KUMAR, S.,

M., Dileep [IN/IN]; Vaishali Apartments, Pocket A-12, 3C, Kalkaji Extension, New Delhi 110 019, Maharashtra (IN).

- (81) Designated States (national): AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TI, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

#### Published:

With international search report.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

# PROCESS FOR THE PRODUCTION OF AMORPHOUS ATORVASTATIN CALCIUM

5

#### FIELD OF THE INVENTION

The present invention relates to a process for the production of amorphous atorvastatin calcium.

10

#### **BACKGROUND OF THE INVENTION**

Atorvastatin is chemically [R-(R\*,R\*)]-2-(4-fluoro-phenyl)-β dihydroxy-5-(1-methylethyl)-3-phenyl-4-[(phenylamino) carbonyl]-1H pyrrole-1-heptanoic acid. Atorvastatin calcium, a synthetic HMG-CoA reductase inhibitor, is used for the treatment of hyperlipidemia and hypercholesterolemia, both of which are risk factors for arteriosclerosis and coronary heart disease. Open dihydroxy carboxylic acid, lactone and various salt forms of atorvastatin have been synthesized.

20

15

United States Patent 5,273,995, describes that R-form of the ring opened acid form has surprising inhibition of the biosynthesis of cholesterol. Atorvastatin in its calcium salt form, i.e.  $[R-(R^*,R^*)]-2-(4-fluoro-phenyl)-\beta$ ,  $\delta$ -dihydroxy-5-(1-methylethyl)-3-phenyl-4- $[(phenylamino) \quad carbomyl]-1H-pyrrole-1-heptanoic acid calcium salt (2:1) having Formula 1:$ 

5

10

15

20

is more suited to formulations and has been recommended as a drug.

United States patents 5,003,080; 5,097,045; 5,103,024; 5,124,482; 5,149,837; 5,248,793; 5,280,126; 5,342,952, which are herein incorporated by reference, describe various processes and key intermediates for preparing atorvastatin.

Atorvastatin calcium produced by the processes described in the above mentioned United States patents does not give amorphous atorvastatin consistently but gives a mixture of its crystalline and amorphous forms, which has unsuitable filtration and drying characteristics and are not suitable for large-scale production.

PCT application, WO 97/03959, discloses novel crystalline forms of atorvastatin calcium designated as Form I, Form II, and Form IV and method for their preparation which provide more favourable filtration and drying characteristics.

PCT application WO 97/03960 describes a procedure for converting the crystalline form of atorvastatin to the amorphous form. Process disclosed therein comprises dissolving crystalline form-I atorvastatin in a non-hydroxylic solvent like tetrahydrofuran or mixtures of tetrahydrofuran and toluene. The process involves complete removal of the solvent under high temperature (about 90°C) and high vacuum (about 5mm) using capital intensive equipment. Exposure of the material to high temperature for several days leads to degradation of the product. This makes the process very inconvenient to operate at a large scale. Slow removal of solvents at a manufacturing scale renders this process as inefficient cost-wise and less productive.

10

5

#### **SUMMARY OF THE INVENTION**

It is an objective of the present invention to provide an efficient process for the production of amorphous atorvastatin, which eliminates the problems of prior art and is convenient to operate on a commercial scale.

15

Accordingly, the present invention provides a process for the preparation of atorvastatin calcium in an amorphous form which comprises dissolving crystalline atorvastatin in a non-hydroxylic solvent, adding a suitable non-polar hydro-carbon solvent and recovering atorvastatin from a solution thereof, by solvent precipitation, isolating and drying the product.

20

Generally, the product can be isolated by any standard method known in the art such as by filtration, centrifugation or decantation. Typically, this product is isolated by filtration when any of the solvents within the scope of the process are used.

Major advantages of the present invention compared to the prior art processes are:

- i. elimination of the need to remove solvent by drying techniques.
- ii. less time consuming with improved filtration.
- iii. easy to operate on large-scale.
- reproducibly produces amorphous product having allowable levels of residual solvents.

The present invention thus provides a novel process for the preparation of amorphous atorvastatin calcium and hydrates thereof which comprises:

- (a) dissolving crystalline atorvastatin calcium in a non-hydroxylic solvent;
- (b) adding a non-polar hydrocarbon anti-solvent to precipitate out the material; and
- (c) removing the solvent by filtration to afford amorphous atorvastatin calcium

The non-hydroxylic solvent is selected from a group of solvents, which have the ability to dissolve crystalline atorvastatin and includes tetrahydrofuran. Suitable non-polar hydrocarbon solvents are selected from a group consisting of: n-hexane, n-heptane, cyclohexane, hexane fraction, heptane fraction or the like. In a preferred embodiment of this invention, the non-hydroxylic solvent is tetrahydrofuran and antisolvent is n-hexane, cyclohexane or n-heptane.

Generally, crystalline atorvastatin calcium is dissolved in a non-hydroxylic solvent, e.g. tetrahydrofuran, at a concentration of about 15% w/v to about 40% w/v, preferably at a concentration of about 25% w/v to about 15% w/v at ambient

5

15

20

temperature and a non-polar hydrocarbon, preferably n-hexane, cyclohexane or n-heptane, is added at 0°C to 50°C, preferably at 20°C to 25°C. The product is recovered by filtration at ambient temperature. Filtration, which is fast and smooth, is carried out using nutsche filtration or centrifuge filtration. Preferably, nutsche filtration is used on large scale preparation. Filtered material, a semi-dry powder, is further dried to remove surface solvents in a vacuum tray drier, tray drier, fluid bed drier or a rotary vacuum drier to afford amorphous material. Preferably, material is dried in a vacuum tray drier at about 20°C to about 80°C for 6 hours to 24 hours. Most preferably, drying is carried out at about 50°C to about 60°C for 12 hours.

10

5

Quantity of antisolvent varies from 5 times to 50 times the input of crystalline atorvastatin calcium depending upon its solution in non-hydroxylic solvent. Preferably, the quantity of antisolvent used is about 20 times to about 40 times the input of crystalline atorvastatin calcium to make overall concentration of about 5% w/v to about 2.5 w/v%.

15

20

Amorphous atorvastatin calcium prepared according to the process of the present invention may be characterized by its x-ray powder diffration pattern (Figures 2) as shown in the accompanied drawings. X-ray powder diffration patterns (Figures 2) show no peaks which are characteristic of a crystalline atorvastatin calcium (Figure 1 of the accompanied drawings) thus demonstrating the amorphous nature of the product.

#### **BRIEF DESCRIPTION OF THE FIGURES**

Figure 1 is the diffractogram of crystalline atorvastatin calcium. The horizontal axis represents  $2\theta$  and the vertical axis corresponds to peak intensity.

Figure 2 is diffractogram of amorphous atorvastatin calcium. The horizontal axis represents 20 and the vertical axis corresponds to peak intensity.

The present invention is illustrated by the following examples, which are not intended to limit the effective scope of the claims.

#### DETAILED DESCRIPTION OF THE INVENTION

#### Example 1

[R-(R\*,R\*)-2-(4-fluorophenyl)- $\beta$ ,  $\delta$ -dihydroxy-5-(1-methylethyl)-3-phenyl-4-[(phenylamino)carbonyl)-1H-pyrrole-1-heptanoic acid hemicalcium salt (Amorphous Atorvasatin calcium).

#### Method A

5

10

15

20

Crystalline atorvastatin calcium (10 kg) was dissolved in tetrahydrofuran (30 lt) under stirring at ambient temperature. Clear solution so obtained was added slowly to cyclohexane (350 lt) under nitrogen atmosphere. It was vigorously stirred maintaining temperature at 20-25°C. The precipitated product was centrifuged and dried under vacuum at about 60°C for 12 hours. Atorvastatin (9.5 kg) in an

amorphous form was obtained having residual solvent levels of 0.01% w/w tetrahydrofuran and 0.6% w/w cyclohexane. X-ray powder diffraction pattern (Figure 2 as shown in the accompanied drawings) demonstrate the amorphous nature of the product.

5

#### Method B

Crystalline atorvastatin calcium (10 kg) was dissolved in tetrahydrofuran (30 lt) under stirring at ambient temperature. To a clear solution of atorvastatin, cyclohexane (350 lt) was added under vigorous stirring at 20 to 25°C. The precipitated mass was further stirred for 30 minutes and filtered in a centrifuge. The product was dried under vacuum at about 60°C for 12 hours. Atorvastatin (9.6 kg) in an amorphous form was obtained having residual solvent levels of 0.01% w/w for tetrahydrofuran and 0.7% w/w for cyclohexane. X-ray powder diffraction pattern demonstrates the amorphous nature of the product.

15

10

#### Example 2

 $[R-(R^*,R^*)-2-(4-fluorophenyl)\beta, \qquad \delta-dihydroxy-5-(1-methylethyl)-3-phenyl-4-\\ [(phenylamino)carbonyl)-1H-pyrrole-1-heptanoic acid hemicalcium salt (Amorphous Atorvasatin calcium)$ 

20

The process of Example 1 was repeated with crystalline atorvastatin calcium (10 kg) dissolved in tetrahydrofuran (30 lt) and using n-hexane instead of cyclohexane

to give amorphous atorvastatin (9.5 kg.). X-ray crystallography confirmed the amorphous nature of the product.

#### Example 3

10

15

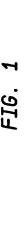
The process of Example 1 was repeated with crystalline atorvastatin calcium (10 kg) dissolved in tetrahydrofuran (30 lt) and using n-heptane instead of cylcohexane to give amorphous atorvastatin (9.6 kg). X-ray crystallography examination confirmed the amorphous nature of the product.

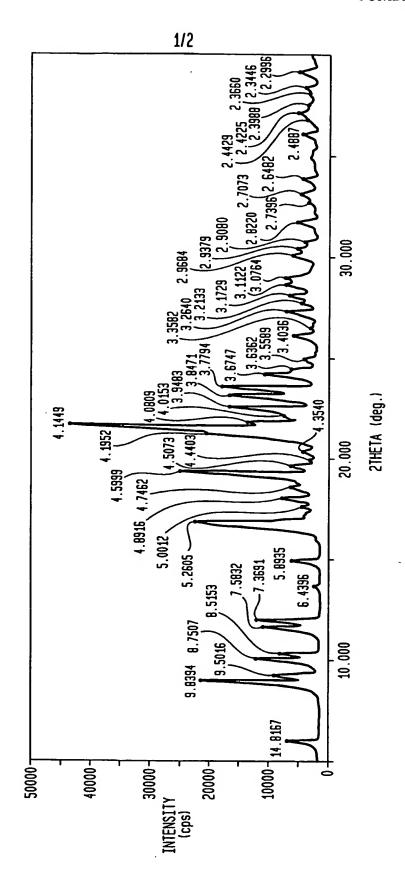
While the present invention has been described in terms of its specific embodiments, certain modifications and equivalents will be apparent to those skilled in the art and are intended to be included within the scope of the present invention.

#### WE CLAIM:

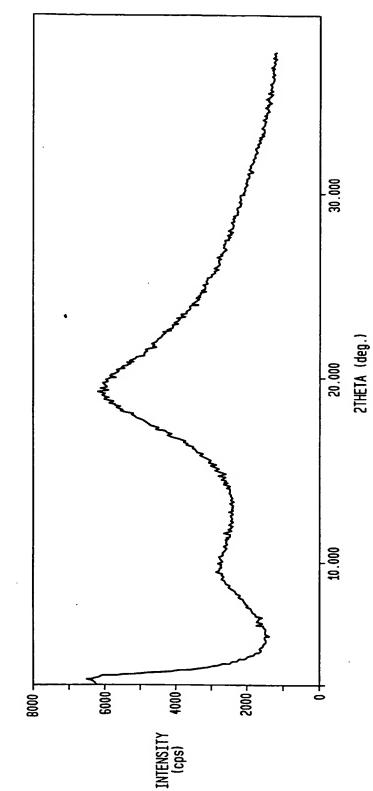
 A process for the preparation of amorphous atorvastatin calcium and hydrates thereof which comprises:

- (a) dissolving crystalline atorvastatin calcium in a non-hydroxylic solvent;
- (b) adding a non-polar hydrocarbon anti-solvent or adding the dissolved atorvastatin to the non-polar anti-solvent to precipitate out atorvastatin calcium; and
- (c) removing the solvent by filtration to afford amorphous atorvastatin calcium.
- 2. The process of claim 1, wherein the non-hydroxylic solvent is tetrahydrofuran and anti-solvent is chosen from a group of non-polar hydrocarbon solvents comprising n-hexane, cyclohexane or n-heptane.
- 3. The process of claim 1, wherein the non-hydroxylic solvent is tetrahydrofuran and anti-solvent is n-hexane.
- 4. The process of claim 1, wherein the non-hydroxylic solvent is tetrahydrofuran and anti-solvent is cylcohexane.
- 5. The process of claim 1, wherein the non-hydroxylic solvent is tetrahydrofuran and anti-solvent is n-heptane.
- 6. The process of claim 1, wherein said amorphous atorvastatin calcium is isolated by filtration.









SUBSTITUTE SHEET (RULE 26)

Interr nel Application No PCT/IB 00/00014

		F	PCT/IB 00/	/00014			
A CLASSII IPC 7	FICATION OF SUBJECT MATTER A61K31/40 C07D207/34						
According to	o International Patent Classification (IPC) or to both national class	ification and IPC					
	SEARCHED						
Minimum do IPC 7	cumentation searched (classification system followed by classific CO7D A61K	ection symbols)					
	tion searched other than minimum documentation to the extent the						
Electronic d	Electronic data base consulted during the international search (name of data base and, where practical, search terms used)						
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT						
Category *	Citation of document, with indication, where appropriate, of the	relevant passages		Relevant to claim No.			
A	WO 97 03959 A (WARNER LAMBERT C CHRISTOPHER A (US); JENNINGS RE 6 February 1997 (1997-02-06) cited in the application			1-6			
A	DE 33 27 449 A (GLAXO GROUP LTD 2 February 1984 (1984-02-02) page 9, line 7 - line 16 page 12, line 10 -page 13, line			1–6			
A	WO 97 03960 A (WARNER LAMBERT C (US); SCHWEISS DIETER (US)) 6 February 1997 (1997-02-06) cited in the application claim 1	O ;LIN MIN	: :	1–6			
		,					
		-/					
X Furt	ther documents are listed in the continuation of box C.	X Patent family m	embers are listed	in annex.			
"A" docum	ategories of cited documents : sent defining the general state of the art which is not dered to be of particular relevance	"T" later document public or priority date and r cited to understand t	not in conflict with	the application but			
	document but published on or after the International	invention "X" document of particula					
"L' docum	uello ent which may throw doubte on priority claim(s) or a lis cited to establish the publication date of another		step when the do	curnent is taken alone			
*O* docum	on or other special reason (as specified) nent referring to an oral disclosure, use, exhibition or	document is combin	d to involve an in ed with one or mo	ventive step when the ore other such docu-			
"P" docum	meane nent published prior to the international filling date but than the priority date claimed	ments, such combin in the art. "8" document member of		us to a person skilled family			
	actual completion of the international search	Date of mailing of th					
1	10 May 2000	17/05/20	00				
Name and	mailing address of the ISA European Patent Office, P.B. 5818 Patentiaan 2	Authorized officer	<del>-</del>				
	NL - 2280 HV Rijewijk Tel. (+31-70) 340-2040, Tx. 31 651 epo ni, Fax: (+31-70) 340-3016	De Jong,	De Jong, B				

1

Intern sel Application No PCT/IB 00/00014

-	A DOMESTING AMERICAN TO BE BE SHAPE	FC1/18 00/00014		
Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT tegory * Citation of document, with indication,where appropriate, of the relevant passages Relevant to claim No.				
		I MOTOR & CO CASTI 1905.		
	WO 97 03958 A (WARNER LAMBERT CO ;MCKENZIE ANN T (US)) 6 February 1997 (1997-02-06) cited in the application	16		
!				
	•			

1

information on patent family members

Inten nal Application No PCT/IB 00/00014

			PC1/1B	00/00014
Patent document cited in search report	Publication date	Patent family member(s)	,	Publication date
WO 9703959 A	06-02-1997	BG 102: BR 96098 CA 2220	296 A 187 A 372 A 018 A	18-02-1997 30-10-1998 23-03-1999 06-02-1997
			955 A 121 A	19-08-1998 14-10-1998
		EP 08483	705 A	24-06-1998
			339 A 578 A	30-04-1998 28-07-1999
·	•		118 A	14-07-1999
		JP 11509:		17-08-1999
			207 A 196 A	16-01-1998 25-05-1998
		SK 62	298 A	07-10-1998
	<del></del>	US 5969:	156 A	19–10–1999
DE 3327449 A	02-02-1984		154 B 783 A	26-01-1987 15-06-1986
		AU 566	381 B	05-11-1987
			783 A	02-02-1984
İ			422 A 313 A	30-01-1984 09-08-1988
		CH 657	134 A	15-08-1986
			528 B 687 A	14-02-1996 15-03-1988
			434 A	02-09-1988
			010 D	12-11-1987
			392 A 083 A,B,	25-05-1992 31-01-1984
		EP 0107	276 A	02-05-1984
			590 D 689 A	01-06-1985 01-10-1985
		FI 832	757 A,B,	31-01-1984
			087 A	03-02-1984
			401 A,B 349 A	11-04-1984 22-10-1984
		HK 84	288 A	28-10-1988
			603 B 748 B	29-09-1986 02-01-1991
			375 A	31-12-1986
			206 B	20-05-1987 26-02-1996
			666 C 084 B	05-04-1995
		JP 59044	391 A	12-03-1984
			805 A 046 B	03-06-1988 19-01-1991
			935 A	23-11-1983
			887 A	31-12-1987
			705 A 773 A,B,	16-02-1984 31-01-1984
		NZ 205	083 A	14-03-1986
			228 A 135 A,B	27-08-1984 01-08-1983
1		SE 453	195 B	18-01-1988
		SE 8304	208 A	31-01-1984
			088 G 558 A	15-07-1988 31-12-1995
			191 A	11-07-1995
Form PCT//SA/210 (netent family annex) (July	1000			

information on patent family members

Inten nat Application No PCT/IB 00/00014

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
DE 3327449	Α	SU 1266471 A	23-10-1986
		US 4994567 A	1 <del>9-</del> 02-1991
		US 5013833 A	07-05-1991
		US 4562181 A	31-12-1985
		US 4820833 A	11-04-1989
		YU 155883 A	30-04-1986
		ZA 8305579 A	26-09-1984
		ZW 17383 A	26-10-1983
WO 9703960	A 06-02-1997	AU 700794 B	14-01-1999
		AU 6497896 A	18-02-1997
		BG 102188 A	31-08-1998
		BR 9609714 A	23-02-1999
		CA 2220455 A	06-02-1997
		CN 1190956 A	19-08-1998
		CZ 9800122 A	16-12-1998
		EP 0839132 A	06-05-1998
		HR 960312 A	28-02-1998
		IL 122161 A	14-07-1999
		JP 11510486 T	14-09-1999
		NO 980209 A	16-01-1998
		PL 324463 A SK 5898 A	25-05-1998
		2K 2020 H	05-08-1998
WO 9703958	A 06-02-1997	AU 6484196 A	18-02-1997
		BG 102186 A	30-10-1998
		BR 9610567 A	06-07-1999
		CA 2220458 A	06-02-1997
		CN 1190957 A	19-08-1998
		CZ 9800123 A EP 0848704 A	17-06-1998 24-06-1998
		HR 960313 A	24-00-1998 30-04-1998
		HU 9901687 A	28-10-1999
		IL 122162 A	14-07-1999
		JP 11509229 T	17-08-1999
		NO 980208 A	16-01-1998
		PL 324532 A	08-06-1998
		SK 5998 A	06-05-1998